IAP9 Rec'd PCT TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 2488.031 In Re Application Of: Shai Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation No. Unknown Herewith Unknown 23405 Unknown Unknown Title: ANTIMICROBIAL AND ANTICANCER LIPOPEPTIDES Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: the statement specified in 37 CFR 1.97(e); OR ☐ the fee set forth in 37 CFR 1.17(p).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 2488.031 IAP9 Rec'd PCT/PTO 14 DEC 2005 Shai In Re Application of: Application No. Filing Date Examiner Customer No. Group Art Unit | Confirmation No. Unknown Herewith 23405 Unknown Unknown Unknown Title: ANTIMICROBIAL AND ANTICANCER LIPOPEPTIDES Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of is attached. ☐ The Director is hereby authorized to charge and credit Deposit Account No. as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. ☐ Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I hereby certify that this correspondence is being deposited I certify that this document and authorization to charge deposit with the United States Postal Service with sufficient postage account is being facsimile transmitted to the United States as first class mail in an envelope addressed to Patent and Trademark Office (Fa "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on (Date) (Date) Signature Signature of Person Mailing Correspondence Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate *This certificate may only be used if paying by deposit ac<u>c</u>ount. Dated: Dec. 14, 2005 Signature Jeff Rothenberg, Reg. No. 26,429 Heslin Rothenberg Farley & Mesiti P.C. 5 Columbia Circle Albany, NY 12203 Tel: 518-452-5600 Fax: 518-452-5579 E-mail: jr@hrfmlaw.com CC:

ATTY DOCKET NO. INFORMATION DISCLOSURE CITATION APPLICANT(S) APO REC'C PC (Use several sheets if necessary) Shai et al. FILING DATE Herewith Unknown **U.S. PATENT DOCUMENTS** *EXAMINER FILING DATE IF APPROPRIATE DOCUMENT NUMBER CLASS SUBCLASS DATE NAME INITIAL AA 6,011,008 01/04/2000 Domb et al. 514 8 ΑB 6,011,013 01/04/2000 Carr et al. 514 13 AC 6,183,736 02/06/01 Moyne et al. 424 93.462 ΑD 6,384,013 05/07/02 Burkhardt et al. 514 11 ΑE 6,172,038 01/09/01 Shai et al. 514 2 **U.S. PATENT APPLICATION PUBLICATIONS** *EXAMINER FILING DATE IF APPROPRIATE DOCUMENT NUMBER DATE NAME CLASS SUBCLASS INITIAL ΑF 2002/0077317 06/20/2002 Das 514 171 **FOREIGN PATENT DOCUMENTS** TRANSLATION DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS YES NO BA WO98/37090 08/27/1998 PCT C07K 14/00 $\mathbf{B}\mathbf{B}$ WO02/40529 05/23/02 **PCT** C07K 14/435

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER DATE CONSIDERED**

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Shai et al.

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